P29844.A02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Akira YUFUKU et al. Group Art Unit : Not Yet Assigned

Appl. No. : 10/578,691 Examiner: Not Yet Assigned

(National Stage of PCT/JP2004/016663)

I.A. Filed: November 10, 2004: Confirmation No.: Not Yet Assigned

For : LIGHTING DEVICE FOR A HIGH-PRESSURE DISCHARGE LAMP AND

LIGHTING EQUIPMENT EMPLOYING SAME

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop <u>AMENDMENT</u>
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, Applicants hereby bring to the attention of the Examiner the following information.

The following documents were cited in an International Search Report with respect to PCT/JP2004/016663, of which the present application is the U.S. National Stage Application. Copies of the International Search Report (in Japanese and English) have been filed concurrently with the present application and should thus have already been brought to the attention of the Examiner.

(1) Japanese Laid-Open Patent Publication No. HEI 6-260289, together with an English language Abstract of the same. Applicants note that this

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- document is also cited on page 2 of the specification of the abovecaptioned application.
- (2) Japanese Laid-Open Patent Publication No. 2001-52883, together with an English language Abstract of the same.
- (3) Japanese Laid-Open Patent Publication No. HEI 5-225956, together with an English language Abstract of the same.

The relevance of the documents cited in the International Search Report, as ascertained with respect to the international claims by the International (i.e., Japanese) Examiner, are set forth in the International Search Report.

Applicants further submit the following documents.

- (4) Japanese Laid-Open Patent Publication No. 2002-352969, together with an English language Abstract of the same. Applicants note that this document is cited on page 3 of the specification of the above-captioned application.
- (5) U.S. Patent Application No. 11/368,667 to SUN et al., which filed on March 7, 2006.
- (6) U.S. Patent Application No. 10/562,217 to GORIKI et al., which filed on December 23, 2005.

Further to the U.S. Patent and Trademark Office's decision to wave the requirement under 37 C.F.R. §1.98 (a)(2)(iii) if the U.S. patent application was filed after June 30, 2003, copies of the U.S. patent applications are not enclosed herewith. However, if any copy is needed, the Examiner is respectfully requested to contact the undersigned.

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Also, when the above-noted pending applications are published, the Examiner is

respectfully requested to list their publication numbers on a PTO 1449 Form (or PTO

892 Form) to confirm consideration thereof.

Applicants respectfully request that the Examiner consider the above material

and cite the same. Copies of the above-noted foreign documents, including the noted

English language Abstracts, are attached hereto, and all of the documents are listed on

an attached PTO-1449 Form. The Examiner is requested to initial the appropriate

spaces on the attached Form and to return a copy of the completed Form to Applicants

with the next official communication in the present application.

Applicants note that an Office Action on the merits has not issued in the present

application, and thus, no fee is believed necessary to ensure consideration of the

submitted material.

Should the Examiner have any questions, the Examiner is invited to contact the

undersigned at the below-listed telephone number.

Respectfully submitted,

Akira YUFUKU et al.

William Pieprz Reg. No. 33630

Bruce H. Bernstein

Reg. No. 29,027

August 7, 2006 GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clarke Place

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FORM PTO-1449

U.S. Department of Commerce Patent and Trademark Office Atty. Docket No. P29844

Applicant

Application No. 10/578,691

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Akira YUFUKU et al.

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*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.